

Docket No.: NEKEUS.069A

Customer No. 20,995

IFW

INFORMATION DISCLOSURE STATEMENT

Applicant : Mitchell, et al.
App. No. : 10/673,036
Filed : September 25, 2003
For : MACROCYCLIC LACTAMS
Examiner : Unknown
Group Art Unit : Unknown

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing four (4) references that are also enclosed.

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). Even if a first Office Action on the merits was mailed before the mailing date of this Statement, this Statement is being filed before the mailing date of a final action under 37 C.F.R. § 1.113 and before the mailing date of a Notice of Allowance under § 1.311. A certification under 37 C.F.R. § 1.97(e) is set forth below. Thus, no fee is required as set forth in 37 C.F.R. § 1.97(c).

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)

I hereby certify that each item of information contained in this Statement was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

The Commissioner is authorized to charge any necessary fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

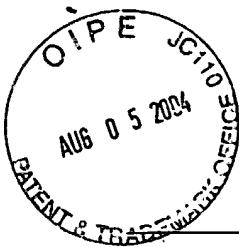
Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: August 3, 2004

By: Marc T. Morley

Marc T. Morley
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PATENT

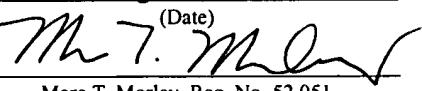
Case Docket No. NEREUS.069A
Date: August 3, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Mitchell, et al.
Appl. No. : 10/673,036
Filed : September 25, 2003
For : MACROCYCLIC LACTAMS
Examiner : Unknown
Group Art Unit : Unknown

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

August 3, 2004


(Date)

Marc T. Morley, Reg. No. 52,051

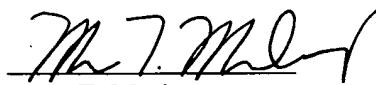
TRANSMITTAL LETTER

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- An Information Disclosure Statement in one (1) page.
- A PTO Form 1449 in one (1) page listing and enclosing four (4) references.
- The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- Return prepaid postcard.


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FORM PTO-1449  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY)	ATTY. DOCKET NO. NEREUS.069A	APPLICATION NO. 10/673,036
	APPLICANT Mitchell, et al.	
	FILING DATE September 25, 2003	GROUP Unknown

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	1. WO 99/07692	02/18/99	PCT				
	2. WO 00/37473	06/29/00	PCT				

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
	3. Arai, et al. "Absolute Stereochemistry of Vicenistatin, a Novel 20-Membered Macrocyclic Lactam Antitumor Antibiotic." <i>Tetrahedron Letters.</i> 39: 3181-3184 (1998).
	International Search Report for International Application No. PCT/US 03/30518 dated 5/25/04

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EXAMINER	DATE CONSIDERED
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*EXAMINER: INITIAL IF CITATION CONSIDERED. WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.